Instruction for using the form

This form is to be used for the purpose of assessment of the collective suitability of members of bodies of supervised entities in accordance with the assessment methodology adopted by the Polish Financial Supervision Authority (KNF). The purpose of this form is to simplify the verification of whether a body fulfills the assessment criteria pertaining to the competence level and quantitative criteria specific to some bodies.

Start filling out the form by entering information on the entity carrying out the assessment, grounds for the assessment (as indicated in section 4.1.3. of the methodology adopted by the KNF), assessment date, previous assessment date, and names of the members of the body subject to the assessment in tab "I – Basic In tabs "II – Management", "III – Risk", and "IV – Business lines", provide information on the level of particular competences of individual body members (preferably based on the data indicated in appendix D to the individual assessment form for the determination of competences of a person subject to the assessment). You can delete the lines that pertain to competences which are not assessed by the supervised entity (e.g. lines that are applicable only to other market segments). You can also add additional lines pertaining to competences which are assessed by the entity, but which are not indicated as obligatory in the In tabs "II – Management" and "III – Risk", provide also information on the collective suitability requirements adopted by the entity for individual criteria. The form provides for four types of requirements:

- Minimum level of a leader this requirement is meant to guarantee that a given competence is represented in the body at least at a specific level;
- Minimum level of a vice-leader this requirement should be applied in parallel with the minimum level of a leader criterion; it is meant to ensure replaceability/continuity of task performance by the body in the case of the person having the highest level of competence in a given area being temporarily unable to
- Average level in the body pertains to competences which the body as a whole should display at a
- Minimum level in the body applies to competences which all members of the body should display at a In tab "V Quantitative criteria", fill out only the lines which apply to the entity performing the assessment (e.g. a pension company will not fill out fields applicable only to cooperative banks or insurance companies) and the body subject to the assessment (e.g. when performing an assessment of a supervisory board, you do not have to fill out fields that apply only to management boards). The remaining lines can be deleted.

If all the fields have been filled out, information on the fulfillment of requirements will be displayed in the last column in tabs II to V. If "Fulfilled" is displayed in all the relevant lines, the result of the collective assessment is positive. If "Not fulfilled" is displayed in at least one field, the result of the assessment is negative in this regard and the entity should take the measures indicated in section 5.1.3. of the

Collective suitability as	ssessment
Part I – Basic data	
Entity:	(entity name)
Body:	(body name)
Assessment date:	(assessment date)
Grounds for carrying	
our the assessment:	(specify grounds)
Date of the previous	
assessment:	(date of the previous assessment)
Composition of the bo	(person 1)
(first names and surna	(person 2)
	(person 3)
	(person 4)
	(person 5)
	(person 6)
	(person 7)
	(person 8)
	(person 9)
	(person 10)

Remarks:

Collective suitability assessment

Part II - management competences

	(person 1)	(person 2)	(person 3)	(person 4)	(person 5)	(person 6)	(person 7)	(person 8)	(person 9)	(person 10)
Knowledge of the market										
Knowledge of legal requirements and the regulatory framework										
Strategic planning (management skills)										
Knowledge of the management system including risk management										
Accounting and financial audit										
Supervision, control, internal audit										
Interpreting financial information (financial and accounting skills)										
Insurance skills										
Actuarial function skills										
Knowledge of the Polish language										
Other (enumerate):										

Entity:	(entity name)
Body:	(body name)
Assessment date:	(assessment date)

Minimum level of a leader	displayed level:	Minimum level of a vice-leader	displayed level:	Average level in the body	displayed level:	Minimum level in the body	displayed level:
	0 – None		0 – None		0 – None		0 – None
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	0 – None		0 – None		0 – None		0 – None
	0 – None		0 – None		0 – None		0 – None
	0 – None		0 – None		0 – None		0 – None
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	0 – None		0 – None		0 – None		0 – None

displayed level:		Summary
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Collective suitability assessment

Part III - Risk management competences

	_	_		_	_	_	_	_	_	6
	(person 1)	(person 2)	(person 3)	person 4)	(person 5)	(person 6)	(person 7)	(person 8)	(berson 9)	(person 10)
Significant risks in the insurance sector	led)	(pel	led)	(be	(bei	led)	led)	(bei	(bea	(per
Actuarial risk										
Market risk										
Credit risk										
Liquidity risk										
Operational risk Significant risks in the banking sector										
Business model area:	1									
business risk										
Business model area:										
strategic risk										
Credit risk area: credit risk										
Credit risk area:										
concentration risk										
Credit risk area:										
collective borrower default risk										
Credit risk area: counterparty risk										
Credit risk area:										
settlement/delivery risk										
Credit risk area: FX crediting risk										
Market risk area:										
position risk										
Market risk area: FX risk										
Market risk area:										
commodity price risk										
Market risk area:										
interest rate credit valuation adjustment risk										
Operational risk area: business risk										
Operational risk area:										
IT risk Operational risk area:										
legal risk										
Operational risk area: model risk										
Operational risk area: AML risk										
Liquidity and financing area:										
liquidity risk										
Liquidity and financing area: market liquidity risk										
Liquidity and financing area:										
intraday liquidity risk										
Liquidity and financing area: liquidity concentration risk										
Liquidity and financing area: financing risk										-
Capital management area:										
risk of excessive financial leverage Capital management area:										
insolvency risk Management area:										
compliance risk Management area:										
reputational risk										
Management area:										
reputational risk										
Systemic risk area: systemic risk										
Systemic risk Systemic risk area:										
contagion risk										
Significant risks in other sectors or risks spec	ific to the supervise	ed entity								
Enumerate:										

Entity:	(entity name)
Body:	(body name)
Assessment date:	(assessment date)

Minimum level of a leader	displayed level:	Minimum level of a vice-leader	displayed level:	Average level in the body	displayed level:	Minimum level in the body	displayed level:
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Collective suitability assessment									
Part IV - competences in the scope of the ma	in areas of activity/busi	ness lines of the entit	¥						
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Business Line 1 (specify)

En	tity:	(entity name)
Во	dy:	(body name)
As	sessment date:	(assessment date)

Minimum level of a leader a leader displayed level:	Minimum level of a vice-leader displayed level:	Average level in the body displayed level:	Minimum level in the body the body displayed level:
0 – None	0 – None	0 – None	0 – None 0 – None



Collective suitability assessment Part V – Quantitative criteria

	(person 1)	person 2)	person 3)	person 4)	(person 5)	person 6)	(person 7)	(person 8)	(person 9)	(person 10)
	<u> </u>	ad)	ad)	9	<u>a</u>	<u></u>	ad)	<u></u>	<u>6</u>	<u>a</u>
Applicable to supervisory boards of cooperat	ive banks									
Is the given member a member and an employee of the cooperative bank carrying out the assessment:										
Applicable to management boards of insuran	ce companies									
Does the given member have professional experience necessary to manage the company:										
Does the given member have evidenced knowledge of the Polish language:										
Applicable to management boards of pension	companies									
Does the given member have evidenced										
knowledge of the Polish language: Does the given member have a university										
degree in lawor economics or has been entered into the register of investment										
advisors:										
Seniority (in years)										
Applicable to supervisory boards of pension of	companies				1					
Does the given member have a university degree in law or economics:										
Was the given member elected by the										
members of the employee pension company:										
Was the given member appointed from outside of the universal pension company shareholders:										
Other criteria adopted by the entity (e.g. crite	eria arising from th	e suitability policy								
Enumerate:	•	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,								
Enumerate.		1								

Entity: Body: Assessment date: (entity name) (body name) (assessment date)

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Max. one-fifth of the	
supervisory board members	
may be employees and	
members of the bank	Spełnia
Aat least half of the	1
management board	
members must have it:	Spełnia
Aat least half of the	
management board	
members must have it:	Spełnia
	in the second
At least two persons must	
have it:	Spełnia
At least one-third of the	
management board	
members must have it:	Spełnia
Min. two-thirds of the	
management board	
members must have	
seniority of more than seven	
years:	Spełnia
At least half of the	
supervisory board members	
must have one:	Spełnia
At least half of the	
supervisory board members	
must have been:	Spełnia
At least half of the	
supervisory board members	
must have been appointed	
this way:	Spełnia
Farrance to .	Are the criteria
Enumerate:	fulfilled? (specify

Collective suitability assessment	Entity:	(entity name)
Part VI – Dedicating time	Body:	(body name)
	Assessme	
	nt date:	(assessment date)
Number of meetings within the last 24 mor	nths:	
Average attendance at meetings (%):		
	Assessment:	

five-level		two-level		Yes/No
Text	Value			
0 – None	0	0 – Not fulfilled	0	0 – No
1 – Basic	1	1 – Fulfilled	1	1 – Yes
2 – Medium	2			
3 – High	3			
4 – Very high	4			