



## ASSESSMENT QUESTIONNAIRE (summary)

Questionnaire for Suitability Assessment of Management Board Members and Candidates for Management Board Members

Information on the current position	
Company:	
Body:	
Job name:	
Scope of responsibility:	
Reporting organisational units:	

Reason for conducting the Suitability Assessment	
Reason for conducting the assessment:	

Last suitability assessment	
Date of previous suitability assessment:	
Type of previous suitability assessment:	
Reason for conducting the previous secondary suitability assessment:	
A photocopy of the previous suitability assessment form including the attachments is appended to the form.	

Summary of the assessment		
	Assessment:	Notes:
Professional qualifications – knowledge and experience		
Professional qualifications – skills		
Professional qualifications – Polish language		
Guaranty – criminal record		
Guaranty – Reputation		
Guaranty – independence of judgement – conflict of interest and financial standing		
Guaranty – independence of judgement – behavioural traits		
Time commitment		
Combining functions		

Recommended actions		
As a result of the conducted assessment, it is recommended to		
In terms of the identified aspects that require improvement – it is recommended to take the following corrective actions		
	other:	

For Secondary Suitability Assessment: To the best of the Bank's knowledge, the data in the other appendices not attached to this form have not changed since the previous suitability assessment and the statements therein remain valid.	
Date	

## ASSESSMENT QUESTIONNAIRE (education)

### Educational requirements and initial assessment

#	Requirement	Status of requirement	Assessment
1	University level education		
2	Professional education (which one?)		

### Educational requirements and secondary assessment

#	Requirement	Source of requirement	Date of requirement	Deadline	Delivery
1					
2					
3					
4					
5					

	Assessment	Date
Initial education assessment by the Human Resources Division		
Recommendation of the Appointments and Remuneration Committee re. the education		
Assessment in terms of Education		

## ASSESSMENT QUESTIONNAIRE (CV)

### Professional experience requirements

#	Requirement	Value (in months)	Minimum in accordance with the suitability policy (in months)	Assessment
1	Seniority			
2	Seniority in the financial sector			
3	Seniority in banking			
4	Experience in managerial or supervisory positions			
5	Experience in managerial or supervisory positions in the financial sector			
6	Experience in managerial or supervisory positions in the banking sector			
7	Experience in the area of risk management			
8	Experience in academic or administrative positions or positions related to controlling financial institutions or other companies:			

	Assessment	Date
Initial Professional Experience Assessment by the Human Resources Division		
Recommendation of the Appointments and Remuneration Committee on Professional Experience		
Assessment of Professional Experience		





**Personal competences**

#	Competence	Primary / secondary assessment	Level of competence required by the Bank	Previous assessment (if applicable)	Self-assessment	Preliminary assessment of the Human Resources Division	The Recommendation of the Appointments and Remuneration Committee	Assessment of the current level of competence	Justification
1	<b>Authenticity</b> The behaviour of the person assessed is consistent with the intentions communicated as well as his/her values and beliefs. Openly communicates his/her intentions, ideas and opinions to the extent consistent with the needs and expectations of the role and while maintaining the security and confidentiality of the information. The assessed person provides factual information to the supervisor, taking into account risks and difficulties. Encourages others to be open and honest about their tasks and the situation in their managed area.								
2	<b>Language</b> The person assessed communicates orally and in writing in a clear and organised manner and in accordance with the accepted social and corporate norms driven by the organisational culture in his/her native language or in the language used at work in the location of the institution.								
3	<b>Firmness</b> The assessed person makes decisions in a timely manner, acting in accordance with an agreed schedule or, if there is no such schedule, as soon as possible taking into account current priorities. When making decisions, he/she relies on the available information.								
4	<b>Communication</b> The assessed person conducts communication in a manner that enables clear and transparent information to be given and received. His/her behaviour encourages and gives the interlocutor the space to formulate feedback.								
5	<b>Judgement</b> The assessed person draws conclusions based on an analysis of available information and the possible courses of action. He/she considers the situation holistically, beyond the perspective of his/her position, especially when dealing with problems that may threaten the continuity of the company's operations.								
6	<b>Customer care and high standards</b> The assessed person focuses on ensuring high standards and, where possible, finding ways to raise them. Specifically: refuses to authorise the development and marketing of products and services and incurring capital expenditures in circumstances where he/she is unable to adequately measure the risk due to a lack of understanding of the structure, principles or underlying assumptions of the proposed solution. Identifies and researches customer expectations and needs and ensures that correct, complete and understandable information is provided to customers. Takes into account in particular the value of the product to the customer and the relevance and suitability of the product.								
7	<b>Leadership skills / team leadership</b> When managing a subordinate team and project work, the assessed person indicates the directions of activity, supports teamwork, motivates employees and ensures that they have the professional competencies appropriate to their functions or necessary for achieving the objectives set. Accepts feedback and demonstrates an attitude of openness to engage in a constructive discussion.								
8	<b>Loyalty</b> The assessed person's behaviour demonstrates that he or she identifies with the organisation, its vision and goals, and demonstrates commitment. Spends adequate time on the job and completes his/her tasks as expected. Defends the interests of the organisation and acts in an objective and critical manner. Recognises and anticipates potential conflicts of interest and takes actions aimed at mitigating their negative impact on the company's operations.								
9	<b>External awareness</b> The assessed person continuously monitors the state of the organisation, the prevailing balance of power within it and operating methods adopted. Is well versed in the prevailing national and international economic situation (including financial, economic and social developments) that may affect the organisation and the interests of the individual entities. At the same time, he/she uses this information effectively.								
10	<b>Negotiations</b> The assessed person identifies and discloses interests in a manner designed to achieve consensus in pursuit of negotiation objectives.								
11	<b>Persuasion</b> The assessed person is able to influence the opinions of others using persuasive skills, authority and tact. He/she has a strong personality and remains relentless in all situations where it is justified.								
12	<b>Teamwork</b> The assessed person acts based on the interest of the group and contributes to a common goal.								
13	<b>Strategic skills</b> The assessed person creates and develops realistic plans and strategies for the development of the company (e.g. using scenario analysis), taking into account long-term objectives. Strategic plans consider the risks to which the organisation is exposed and take appropriate steps to manage those risks.								
14	<b>Resistance to stress</b> The assessed person understands and takes into account in decision making the internal and external conditions that form the context in which the enterprise operates. When making decisions and taking actions, he/she takes into account their impact on stakeholders.								
15	<b>Sense of responsibility</b> The assessed person understands and takes into account in decision making the internal and external conditions that form the context in which the enterprise operates. When making decisions and taking actions, he/she takes into account their impact on stakeholders.								
16	<b>Chairing meetings</b> When chairing meetings, the assessed person creates an atmosphere of openness and encourages participants to take part on equal terms, and ensures that the meetings run smoothly. He/she has knowledge of the tasks and responsibilities of the participants.								

	Assessment	Date
Initial Assessment of the Current Competency Level by the Human Resources Division		
Recommendation of the Appointments and Remuneration Committee on the Current Level of Competence		
General Assessment of the Current Level of Competence		

## ASSESSMENT QUESTIONNAIRE (clean criminal record)

### Summary of the assessment performed by the Bank

The information provided by the applicant in this form adversely affects the assessment of his/her criminal record in terms of the suitability for appointment as a member of the body of the supervised entity (primary assessment).

The information provided by a member of the bank's authority in this form negatively affects the assessment of a criminal record for suitability to hold office (secondary assessment).

	Assessment	Date
Initial clean criminal record assessment by the Human Resources Division		
Recommendation of the Appointments and Remuneration Committee re. clean criminal record		
Assessment of the criminal record		

## ASSESSMENT QUESTIONNAIRE (Guarantee)

### Irregularities related to the scope of responsibilities of the Person Subject to Suitability Assessment detected as a result of control

Designation of the body that conducted the control	
Period of control	
Date of control	
Scope of control	
Scope of responsibilities of the Person as at the date of control	
Irregularities identified	
Scope of responsibilities to which the irregularities were related	
Actions by the Person related to rectification of irregularities	

### Irregularities related to the scope of responsibilities detected in the course of supervisory activities carried out by the supervisory authority

Type of supervisory action:	
Date of supervisory action:	
Period covered by supervisory action:	
Scope of supervisory action:	
Scope of responsibilities of the candidate as at the supervisory action date:	
Irregularities identified	
Scope of responsibilities to which the irregularities were related	
Actions by the Person related to rectification of irregularities:	

### Fulfilment, by the member of the Authority, of his/her duties

Comment	
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### Membership in committees

The person is a member of the Bank's internal committee	
Duties as part of the committee	
The person fulfils his/her duties as part of the committee referred to above	
Description and comment to the assessment	

### Summary of the assessment performed by the Bank

The information provided in this form adversely affects the assessment of your suitability in terms of the guarantee to serve as a member of the bank's governing body.	
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	Assessment	Date
Initial guarantee Assessment by the Human Resources Division		
Recommendation of the Appointments and Remuneration Committee re. the guarantee		
Assessment of the guarantee		

## ASSESSMENT QUESTIONNAIRE (conflict of interest)

### Adopted solutions to manage identified conflicts of interest

#	Irregularity identified:	Management solutions adopted:	Is the solution effective?
1			
2			
3			
4			
5			
6			

### Summary of the assessment performed by the Bank

<p>The information presented by the Candidate / Management Board Member in this form has a negative impact on the assessment of his/her independence of judgement in terms of the risk of a conflict of interest, in terms of his/her suitability for appointment to / serving a function in the Management Board.</p>	
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	Assessment	Date
Initial conflict of interest assessment by the Human Resources Division		
Recommendation of the Appointments and Remuneration Committee re. the conflict of interest		
Assessment of conflict of interest		

## ASSESSMENT QUESTIONNAIRE (independent judgement)

### Assessment of behavioural traits (for Secondary Suitability Assessment)

Please describe (and if possible document) the actions of the body member in the period since the last assessment that are an expression of behavioural traits affecting independence of judgement, together with their assessment. Consider in particular:

- 1) Voting on potentially controversial issues, consistent or inconsistent with the majority at meetings of the body;
- 2) exercising or refraining from exercising the right of veto;
- 3) written or recorded questions addressed to other members of the entity's bodies.

### Summary of the assessment performed by the Bank

The information provided in this form adversely affects the assessment of your suitability in terms of independent judgment to serve in the Bank's Statutory Body.

	Assessment	Date
Initial independence of judgment assessment by the Human Resources Division		
Recommendation of the Appointments and Remuneration Committee on independence of judgement		
Independent judgement assessment		

## ASSESSMENT QUESTIONNAIRE (combining functions)

### Summary of the assessment performed by the entity

The information presented negatively influences the assessment of independence of judgment in terms of suitability for appointment as a member of a body in the supervised entity.

The number of functions performed concurrently is consistent with the principles adopted by the Bank.

	Assessment	Date
Initial combining functions assessment by the Human Resources Division		
Recommendation of the Appointments and Remuneration Committee re. combining functions		
Assessment of combining functions		

<b>ASSESSMENT QUESTIONNAIRE (time commitment)</b>	
<b>Preparation to perform the duties in the position to be assigned to the Candidate</b>	
Need for training	
Scope of training	
Duration of training	
Expected date of training	
Need for induction	
Expected date	
Expected duration	

<b>Requirements in terms of time commitment</b>	
number of planned meetings of the Management Board	
estimated number of meetings outside the schedule	

<b>Remote work</b>	
The Bank applies solutions that enable remote work	

<b>Prolonged absence</b>	
The Bank has an absence replacement strategy in place which, while complying with the regulations, enables employees to devote sufficient time to their existing duties as well as those arising from the need for replacement, and ensures continuity and lack of disruption in operations.	

<b>Summary of the assessment performed by the Bank</b>	
The Bank has assumed that the minimum time required to perform the duties associated with the function is (please provide number of hours per month and number of days per year)	
The information provided by the Person Subjected to the Suitability Assessment adversely affects the assessment of his/her ability to commit sufficient time in terms of his/her suitability for service/appointment as a Management Board member.	

<b>Assessment of the achievement of the Authority's objectives and expectations</b>	
Participation in meetings	
total number	current
Prolonged absence	
reason	number of days
Substitution performed due to absence of another Management Board member	
function/position	number of days
Evaluation of the preparation and involvement in Management Board meetings	

<b>Summary of the assessment performed by the Bank</b>	
The information provided in this form negatively impacts the assessment of the ability to commit sufficient time in terms of suitability to serve/hold a position in the Management Board	

	Assessment	Date
Initial time commitment assessment by the Human Resources Division		
Recommendation of the Appointments and Remuneration Committee re. time commitment		
Time commitment assessment		